

## OVERVIEW

With the introduction of the “non-automatic funding rule” for countries classified as emerging economies, co-financing from the Brazilian side is required for most of the projects submitted under Horizon 2020. To overcome this constraint, **Brazil structured a co-financing mechanisms to cover the costs of Brazilian participants within international consortia together with the EU in the H2020 programme.**



## Co-financing novelties for Brazilian participation within Horizon 2020: the FAPs (State Funding Agencies) Guidelines

FP7 (2007-2013)

Brazil reached a ranking of 6th major participant among third countries, but also in terms of EU contribution for Brazilian participants



January 2014 – December 2020

H2020 non automatic funding rule for emerging economies



December 2014

CONFAP signed a Letter of Intent with the EU Delegation to Brazil



August 2015

Almost all 26 Brazilian FAPs joined the agreement of the Letter of Intent willing to find concrete means to allow active cooperation under H2020



October 2015

São Paulo Research Foundation, FAPESP, in coordination with the EU Delegation to Brazil is the 1<sup>st</sup> FAP implementing the Guidelines



Today

São Paulo, Minas Gerais, Santa Catarina, Goiás, Federal District, Espírito Santo, Paraná, Mato Grosso do Sul have the Guidelines in place

### Background

The new European Framework Programme for Research and Innovation, Horizon 2020, **has established a “non-automatic” funding rule for countries classified as emerging economies**, which includes Brazil. Except for specific cases where funding of Brazilian participants is provided, most of the time, **co-financing from the Brazilian side is required for projects submitted under Horizon 2020**. This limitation has affected Brazilian participation in the calls of the first two years of implementation of Horizon 2020, due to the lack of co-financing mechanisms from the Brazilian side.

In December 2014, a new possibility for overcoming this constraint has started, through the signature of a **Letter of Intent** between CONFAP, the Brazilian Council of the State Funding Agencies, and the EU Delegation to Brazil.

**Such a letter represents the overall umbrella under which single States in Brazil**, through their Funding Agencies – Fundações de Amparo à Pesquisa (FAPs) - **are encouraged to implement co-funding mechanisms for such participation.**

Following this, in August 2015, almost all of the 26 Brazilian FAPs have joined the agreement of the Letter of Intent, stressing their interest in funding concrete means to allow an active cooperation within Horizon 2020.

### From intent to concrete guidelines

From an operational point of view, the feasibility of co-financing mechanisms needed to go through another important step, which is the **definition of modalities and rules for allowing the participation of Brazilian Institutions, through co-financing mechanisms.**

**The first Funding Agency which has defined such implementing modalities has been the São Paulo Research Foundation, FAPESP, in coordination with the EU Delegation to Brazil.** The outcome is the publication of the [“Guidelines for the preparation of research proposals in collaboration with proposals submitted to EU H2020”](#). Following this, the Guidelines have been adopted by CONFAP, which prepared a more generalised version, adaptable to each FAP.



**FAPs/States who have launched the Guidelines**



**What are the Guidelines?**

- The Guidelines indicate the **operational framework** on how each FAP shall support the participation of its researchers/participants within projects funded under Horizon 2020.
- In practice, the Guidelines can be considered like a **parallel call for the submission of research and innovation proposals in coordination with Horizon 2020**.
- Each FAP can adjust them to their own needs, funding capacities, research & innovation priorities, funding lines.

**General features of the guidelines**

FAPs' support through the **Guidelines covers all the calls within Horizon 2020**, namely within the three priorities: **Excellent Science, Industrial Leadership and Societal Challenges**, as well as in the horizontal actions of the Programme.

Such an option has been chosen in order to guarantee **flexibility and openness** with reference to the target research themes and this option follows a bottom-up approach which answers to the demand arising from the Research & Innovation communities in Brazil.

**Participants eligible to present proposals need to comply with eligibility rules of the single FAPs**, which in general, respond to the type of participants which normally act within Horizon 2020 on the EU side, therefore, covering **individual researchers, research institutions as well as innovation actors, depending on the specific Horizon 2020 call**.

**Deadlines are also coordinated in a way that the submission to the respective FAPs follow a timeline which works in a synchronised manner with Horizon 2020 deadlines**, which may vary depending on the call.

Proposals submitted by Brazilian candidates to the FAPs needs to **prove a clear link to the wider project submitted under Horizon 2020 and to show that the participation of the Brazilian counterpart is relevant and consistent** in terms of project elaboration and planned implementation, and that the project impacts the scientific and technological development of the Brazilian State which is providing the co-financing.

**As for evaluation, modalities differ depending on the choice of the single FAPs**. Depending on the need of each FAP, the evaluation can be either done only within H2020 or also by the FAP respectively involved. In any case, each FAP shall need to receive the proposal in order to take decisions on whether finance or not, also depending if the project responds to the state's priorities, the available funding and number of proposals approved and ranked.

Minimum requirements:

- Projects positively evaluated by the FAP shall be only approved if also approved under H2020
- Proponents shall have to attach to their proposal sent to the FAP the complete proposal submitted under H2020 in order to allow a global assessment
- Confidentiality is guaranteed

**Where can you find the guidelines?**

CONFAP/FAPs: <http://confap.org.br/news/horizonte-2020/>

H2020 Participant Portal - Brazil Country Page:  
[https://ec.europa.eu/research/participants/data/ref/h2020/other/hi/h2020\\_localsupp\\_brazil\\_en.pdf](https://ec.europa.eu/research/participants/data/ref/h2020/other/hi/h2020_localsupp_brazil_en.pdf)

**For further information**

Contact **INCOBRA Helpdesk**

CONFAP supports such cooperation schemes also with technical guidance targeted at providing capacity building for Brazilian project proponents. CONFAP has a dedicated email for receiving queries and providing support: [horizonte2020@confap.org.br](mailto:horizonte2020@confap.org.br)

